



HOW TO COMPLETE THE SHIPPER'S LETTER OF INSTRUCTION (SLI)

Box #	Description	Instructions	Regulatory Citations and Additional Information
1.	USPPI Name and Address Including Zip Code:	<p>Enter full USPPI name. This should not be one entity in care of another entity. It must be the same company whose EIN is being used.</p> <p>Enter the street address (no PO Box allowed) where the cargo begins its journey to the port of export.</p>	<p>FTR 15 CFR 30.3: The USPPI is the person in the USA that receives the primary benefit, monetary or otherwise, of the export transaction. Generally, that person is the U.S. Seller, manufacturer, or order party. In general, the Freight Forwarder cannot be the USPPI.</p> <p>When a foreign entity is in the United States at the time the items are purchased or obtained for export, the foreign entity is the USPPI for filing purposes.</p> <p>Per FTR 15 CFR 30.6(a)(1)(ii): In all EEI filings, the USPPI shall report the address or location (no post office box number) from which the goods actually begin the journey to the port of export even if the USPPI does not own/lease the facility. For example, the EEI covering goods laden aboard a truck at a warehouse in Georgia for transport to Florida for loading onto a vessel for export to a foreign country shall show the address of the warehouse in Georgia. For shipments with multiple origins, report the address from which the commodity with the greatest value begins its export journey. If such information is not known, report the address in the state where the commodities are consolidated for export.</p>
2.	USPPI EIN (IRS) No:	<p>Report your 9 digit (numeric) company EIN. This must be the EIN of the party reported as the USPPI. If your company utilizes a 2-character suffix (alpha-numeric) that you want reported, please include those characters. (Example 951234567AB).</p> <p>When a foreign entity is in the United States at the time the items are purchased or obtained for export, the foreign entity is the USPPI for filing purposes. In such situations, the foreign entity shall report a DUNS, border crossing number, passport number, or any number assigned by CBP.</p>	<p>FTR 15 CFR 30.6(a)(1)(iii): USPPI identification number: The USPPI shall report its own IRS EIN. If the USPPI has only one EIN, report that EIN. If the USPPI has more than one EIN, report the EIN that the USPPI uses to report employee wages and withholdings, and not the EIN that is used to report only company earnings or receipts. If the USPPI does not have an EIN, the USPPI must obtain an EIN for reporting to the AES. Use of another company's or individual's EIN or other identification number is prohibited.</p>

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3.	Related Party Indicator:	Check "related" if you own 10% of the ultimate consignee or if they own 10% of your company. Otherwise check "Non-Related".	Check "Related" if you as the USPPI or the ultimate consignee owns directly or indirectly 10 percent or more of the other party as stated in FTR 15 CFR 30.6(a)(10) . Otherwise check "Non-Related".
4.	Intermediate Consignee name and address:	Enter the Intermediate consignee name and address (if there is one), including country.	FTR 15 CFR 30.6(b)(2) : The name and address of the intermediate consignee (if any) shall be reported. The intermediate consignee acts in a foreign country as an agent for the principal party in interest or the ultimate consignee for the purpose of effecting delivery of the export shipment to the ultimate consignee. The intermediate consignee is the person named as such on the export license or authorized to act as such under the applicable general license and in conformity with the EAR.
5.	Ultimate Consignee Type:	This is a NEW mandatory field effective April 5, 2014. Select one from the list. Please do not select "Other/Unknown" unless the ultimate consignee truly does not fit into any of the other categories.	FTR 15 CFR 30.6(a)(28) : Provide the business function of the ultimate consignee that most often applies. If more than one type applies to the ultimate consignee, report the type that applies most often. <ul style="list-style-type: none"> • Direct Consumer - a non-government institution, enterprise, or company that will consume or use the exported good as a consumable, for its own internal processes, as an input to the production of another good or as machinery or equipment that is part of a manufacturing process or a provision of services and will not resell or distribute the good. • Government Entity - A government-owned or government-controlled agency, institution, enterprise or company. • Reseller - A non-government reseller, retailer, wholesaler, distributor, distribution center or trading company. • Other/Unknown - An entity that is not a Direct Consumer, Government Entity or Reseller, as defined above, or whose ultimate consignee type is not known at the time of export.
6.	Ultimate Consignee name and address:	Enter the name and address (including country) of the party who will be receiving the export shipment at destination. This party might be the FPPI and/or the end user, but it <u>will not be</u> the party at destination (likely forwarding agent) who is acting as agent hired to deliver the items to the ultimate consignee (see Intermediate Consignee).	FTR 15 CFR 30.6(a)(3) : The ultimate consignee is the person, party, or designee that is located abroad and actually receives the export shipment. The ultimate consignee as known at the time of export shall be reported. For shipments requiring an export license, the ultimate consignee shall be the person so designated on the export license or authorized to be the ultimate consignee under the applicable license

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		For items subject to licensing under the ITAR, enter the name and address of the Foreign End User.	<p>exemption in conformance with the EAR or ITAR, as applicable.</p> <p>For items subject to licensing under the ITAR, enter the name and address of the Foreign End User.</p>
7.	USPPI Reference#:	Enter your shipment internal control / reference number – the number that you want the forwarder to refer to if they have any questions. . This is for forwarder /shipper identification purposes only. (It is not the same as the Shipment Reference Number which will be assigned by the filer and transmitted as required by 15 CFR 30.6(a)(19).)	Enter your shipment internal control / reference number – the number that you want the forwarder to refer to if they have any questions.
8.	Consignee PO#:	Enter consignee PO number if available	Enter consignee PO number if available
9.	State of Origin:	Enter the State from which the cargo began its journey to the port of export.	FTR 15 CFR 30.6(a)(4) : The U.S. state of origin is the 2-character postal code for the state in which the goods begin their journey to the port of export. For example, a shipment covering goods laden aboard a truck at a warehouse in Georgia for transport to Florida for loading onto a vessel for export to a foreign country shall show Georgia as the state of origin. The U.S. state of origin may be different from the U.S. state where the goods were produced, mined, or grown. For shipments of multi-state origin, reported as a single shipment, report the U.S. state of the commodity with the greatest value. If such information is not known, report the state in which the commodities are consolidated for export.
10.	Country of Ultimate Destination:	Report the country in which the goods are going to be consumed, further processed, stored or manufactured.	FTR 15 CFR 30.6(a)(5) : The country of ultimate destination is the country in which goods are to be consumed, further processed, stored, or manufactured, as known to the USPPI at the time of export. In the case of a Department of State license, the country of ultimate destination is the country specified with respect to the end user.
11.	In-Bond Code:	If your cargo is moving in bond, advise the bond type code here. Otherwise indicate: "70" -Not In Bond.	<p>Per 15 CFR 30.6(a)(22): The code indicating whether [or not] the shipment is being transported under bond.</p> <p>One of the following in-bond numbers will be reported. If your cargo is moving in bond, advise the type here.</p> <p>70 = not in bond;</p>

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			<p>or select one of the following “in-bond” codes: 36 = warehouse withdrawal for immediate export (“IE”); 37 = warehouse withdrawal for transportation and exportation (“T&E”); 67 = IE from a Foreign Trade Zone (FTZ); Please also provide FTZ identifier in box #18. 68 = T&E from a Foreign Trade Zone (FTZ). Please also provide the FTZ Identifier in box #18.</p> <p>Note: The Entry Number (Box 17) is required for “in bonds”.</p>
12.	Routed Export Transaction:	If the movement of the cargo out of the U.S. is controlled by the USPPI or their forwarder, check “No”. If the movement of the cargo out of the U.S. is controlled by the foreign buyer’s forwarder, check “Yes”.	<p>Check “No” if the USPPI or their forwarder is controlling the movement of the cargo out of the U.S. Check “Yes” if the foreign buyer’s forwarder is controlling the movement of the cargo out of the U.S.</p> <p>FTR 15 CFR 30.6(a)(24) The routed export transaction indicator: An indicator that identifies that the shipment is a routed export transaction as defined in § 30.3.</p> <p>FTR 15 CFR 30.3(e) : The Census Bureau recognizes “routed export transactions” as a subset of export transactions. A routed export transaction is a transaction in which the FPPI authorizes a U.S. agent to facilitate the export of items from the United States and to prepare and file EEI. See also the Export Administration Regulations (EAR) 15 CFR 758.3(b).</p>
13.	Insurance:	Indicate if insurance is requested (optional)	Indicate if insurance is requested
14.	Declared Value for Carriage:	Indicate value for insurance (optional)	Indicate value for insurance (optional)
15.	Service requested	Circle Air or Ocean	Circle Air or Ocean
16.	Terms	Indicate INCOTERM	<p>Incoterms® 2020 by the International Chamber of Commerce (ICC)</p> <p>http://www.iccwbo.org/products-and-services/trade-facilitation/incoterms-2010/the-incoterms-rules/</p>
17.	Special Instructions	Enter special instructions	
18.	Domestic or Foreign (D/F)	Report Domestic and Foreign origin goods separately. In other words, If you have a single Schedule B and part of the value is domestic, part foreign, you must report the	FTR 15 CFR 30.6(a)(11) : The domestic or foreign indicator indicates if the goods exported are of domestic or foreign origin. Report foreign goods separately from goods of domestic production even if the commodity classification number is the same.

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		Schedule B twice, once with the domestic value and once with the foreign value.	<p>(i) Domestic. Exports of domestic goods include: Those commodities that are grown, produced, or manufactured (including commodities incorporating foreign components) in the United States, including goods exported from U.S. FTZs, Puerto Rico, or the U.S. Virgin Islands; and those articles of foreign origin that have been enhanced in value or changed from the form in which they were originally imported by further manufacture or processing in the United States, including goods exported from U.S. FTZs, Puerto Rico, or the U.S. Virgin Islands.</p> <p>(ii) Foreign. Exports of foreign goods include those commodities that are grown, produced, or manufactured in foreign countries that entered the United States including goods admitted to U.S. FTZs as imports and that, at the time of exportation, have undergone no change in form or condition or enhancement in value by further manufacture in the United States, in U.S. FTZs, in Puerto Rico, or in the U.S. Virgin Islands.</p>
19.	<p>Schedule B / HTS Number and Commercial Commodity Description</p> <p>For Vehicles: VIN/Year, Make, Model and Vehicle Title Number are required.</p>	<p>Enter all of the 10 digit Schedule B or HTSUSA numbers that apply to your shipment here.</p> <p>Report a commercial / generic commodity description that will allow CBP and other government agencies to recognize the product, to identify that it falls into the Schedule B classification, and in the case of licensed cargo, to identify that the product is clearly the product described on the license. This field is not intended for the Schedule B Description.</p> <p>For Used Self Propelled Vehicles (as described in the CBP regulations part 192.1 and listed on AESTIR Appendix U, filing is required for all values (even those \$2500 or less) 72 hours prior to export.</p>	<p>Enter all of the 10 digit Schedule B or HTSUSA numbers that apply to your shipment here.</p> <p>Schedule B: FTR 15 CFR 30.1(c): The Statistical Classification of Domestic and Foreign Commodities exported from the United States. The Schedule B classification numbers are administered by the U.S. Census Bureau. To find a Schedule B number, please refer to the Schedule B Search Engine found at http://www.census.gov/foreign-trade/schedules/b/index.html. If additional assistance is needed, please call the Commodity Analysis Branch, Foreign Trade Division, Census Bureau on (800) 549-0595, option 2.</p> <p>Commercial Commodity Description: You should not copy the Schedule B description into this field. Per FTR 15 CFR 30.6(a)(13) Report the description of the goods shipped in English in sufficient detail to permit verification of the Schedule B or HTSUSA number. Clearly and fully state the name of the commodity in terms that can be identified or associated with the language used in Schedule B or HTSUSA (usually the commercial name of the commodity), and any and all characteristics of the commodity that distinguish it from commodities of the same name covered by other Schedule B or</p>

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			<p>HTSUSA classifications. If the shipment requires a license, the description reported in the EEI shall conform to that shown on the license. If the shipment qualifies for a license exemption, the description shall be sufficient to ensure compliance with that license exemption. However, where the description on the license does not state all of the characteristics of the commodity that are needed to completely verify the commodity classification number, as described in this paragraph, report the missing characteristics, as well as the description shown on the license, in the commodity description field of the EEI.</p> <p>Used Self-Propelled Vehicles (Schedule Bs listed in AESTIR Appendix U): Filing is required for all values (FTR 15 CFR 30.2(a)(1)(iv)(H)) 72 hour prior to export (15 CFR 30.4(b)(5)). For additional information on pre-departure requirements for Used Self Propelled Vehicles, see also 19 CFR 192.1 and 192.2.</p>
20.	Quantity in Schedule B / HTS Units:	<p>Report the quantity for each Schedule B in Schedule B Units. For example, you may be shipping 5 cartons, but if the Schedule B unit is doz., you must report the number of dozens being shipping for that Schedule B. If the Schedule B unit is kgs., you must report the weight in kilos for that Schedule B.</p> <p>Some commodities have a second Schedule B unit (secondary). If that is the case, you must also report that secondary quantity.</p>	<p>FTR 15 CFR 30.6(a)(15) Primary quantity: The quantity is the total number of units that correspond to the first unit of measure specified in the Schedule B or HTSUSA. Where the unit of measure is in terms of weight (grams, kilograms, metric tons, etc.), the quantity reflects the net weight, not including the weight of barrels, boxes, or other bulky coverings, and not including salt or pickle in the case of salted or pickled fish or meats. For a few commodities where "content grams" or "content kilograms" or some similar weight unit is specified in Schedule B or HTSUSA, the quantity may be less than the net weight. The quantity is reported as a whole unit only, without commas or decimals. If the quantity contains a fraction of a whole unit, round fractions of one-half unit or more up and fractions of less than one-half unit down to the nearest whole unit. (For example, where the unit for a given commodity is in terms of "tons," a net quantity of 8.4 tons would be reported as 8 for the quantity. If the quantity is less than one unit, the quantity is 1.) <i>Note: Some Schedule B / HTS numbers have a secondary quantity that must also be reported.</i></p>
21.	Shipping Weight (in Kilos)	Report the weight in kilos for each Schedule B.	<p>FTR 15 CFR 30.6(a)(16): The shipping weight is the weight in kilograms, which includes the weight of the commodity, as well as the weight of normal packaging, such as boxes, crates, barrels, etc. The shipping weight is required for exports by air, vessel,</p>

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			rail, and truck, and required for exports of household goods transported by all methods. For containerized cargo in lift vans, cargo vans, or similar substantial outer containers, the weight of such containers is not included in the shipping weight. If the shipping weight is not available for each Schedule B or HTSUSA item included in one or more containers, the approximate shipping weight for each item is estimated and reported. The total of these estimated weights equals the actual shipping weight of the entire container or containers.
22.	Value at the Port of Export (US Dollars):	<p>For each Schedule B, report the value including U.S. inland freight and insurance to the port of export.</p> <p>If your commercial invoice value includes international transportation cost, deduct that to arrive back at the value at the port of export.</p>	<p>FTR 15 CFR 30.6(a)(17): In general, the value to be reported in the EEI shall be the value of the goods at the U.S. port of export in U.S. dollars. The value shall be the selling price (or the cost, if the goods are not sold), plus inland or domestic freight, insurance, and other charges to the U.S. seaport, airport, or land border port of export. Cost of goods is the sum of expenses incurred in the USPPI's acquisition or production of the goods. Report the value to the nearest dollar, omit cents. Fractions of a dollar less than 50 cents should be ignored, and fractions of 50 cents or more should be rounded up to the next dollar.</p> <p>(i) Selling price. The selling price for goods exported pursuant to sale, and the value to be reported in the EEI, is the USPPI's price to the FPPI (the foreign buyer). Deduct from the selling price any unconditional discounts, but do not deduct discounts that are conditional upon a particular act or performance on the part of the foreign buyer. For goods shipped on consignment without a sale actually having been made at the time of export, the selling price to be reported in the EEI is the market value at the time of export at the U.S. port.</p>
23.	Pieces & Dimensions	Provide LxWxH in inches for each piece.	Provide LxWxH in inches for each piece.
24.	Documents attached	Indicate documents accompanying SLI	
25.	To be prepared	Indicate documents to be prepared	
26.	Export License No., License Exception Symbol, DDTC Exemption No., DDTC ACM No. or NLR:	<p>On each Schedule B line, enter the license number, license exception code, DDTC exemption number, or "No License Required" (NLR) code.</p> <p>If the license determination applies to all lines, clearly indicate that on the form.</p>	<p>EAR 15 CFR 758.1(g). For <i>each</i> item on the AES record, you must show the license authority (License number, License Exception, or No License Required (NLR).</p> <p>FTR 15 CFR 30.6(b)(5): Export license number/CFR citation/KPC number. License number, permit</p>

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		<p>Note: EAR99 products are not always “NLR” and all products that qualify for “NLR” are not necessarily EAR99.</p>	<p>number, citation, or authorization number assigned by the Department of Commerce, BIS; Department of State, DDTC; Department of the Treasury, OFAC; Department of Justice, DEA; Nuclear Regulatory Commission; or any other federal government agency.</p> <p>Note re: ACM#: 22 CFR 126.16 & 126.17 – Enter an Approved Community Member Number (ACM#) if your ITAR license exemption is pursuant to the Defense Trade Cooperation Treaties between the U.S. and the United Kingdom or Australia. The ACM# format for the United Kingdom must begin with UK followed by 9 numbers. The ACM# format for Australia must begin with DTT followed by 8 numbers.</p>
27.	ECCN, EAR99 or USML Category No.:	<p>Report the 5-character ECCN, EAR99 if your product is not on the Commerce Control List (CCL), or for products controlled under the International Traffic in Arms Regulations (ITAR), report the 2-digit U.S. Munitions List 2 category code.</p> <p>Note: A product is only EAR99 if it is not controlled under the ITAR and is not on the Commerce Control List: Commerce Control List-Alphabetical Index.</p>	<p>Report the 5-character ECCN, EAR99 if your product is not on the Commerce Control List (CCL), or for products controlled under the International Traffic in Arms Regulations (ITAR), report the 2-digit U.S. Munitions List 2 category code.</p> <p>ECCN: FTR 15 CFR 30.6(b)(6) <i>Export Control Classification Number (ECCN)</i>: The number used to identify items on the Commerce Control List (CCL), (15 CFR) Supplement No. 1 to Part 774 of the EAR. The ECCN consists of a set of digits and a letter.</p> <p>The CCL is also accessible on line at http://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear Guidance on how to use the CCL and determine your ECCN is provided by the Bureau of Industry and Security’s (BIS) Office of Exporter Services in Washington, DC Headquarters at (202) 482-4811 and in their Western Regional Offices in California on (949) 660-0144 or (408) 998-8806.</p> <p>EAR99: Items that are not classified under an ECCN and that are not controlled by the Department of State are designated “EAR99”. EAR99 items generally consist of low-technology consumer goods and do not require a license in many situations. However, if your proposed export of an EAR99 item is to an embargoed country, to an end-user of concern or in support of a prohibited end-use, you may be required to obtain a license if it is not subject to a General License.</p>

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			USML Category Number: As noted in FTR 15 CFR 30.6(b)(14)(iv) , for items controlled by the Department of State, report the DDTC U.S. Munitions List (USML) category number of the articles, service or technical data being exported (22 CFR 121).
28.	Printed Name of Duly authorized officer or employee:	Insert the name of the individual in your (USPPI) company that is authorized to act in this capacity on your behalf, and who is authorized to speak to CBP or other US Government Agency who might have reason to reach out to them on the transaction.	FTR 15 CFR 30.6(a)(1)(iv) Contact information. Show contact's full name
29.	I certify that the statements made and all information contained herein are true and correct. I understand that civil and criminal penalties, including forfeiture and sale, may be imposed for making false and fraudulent statements herein., failing to provide the requested information or for violation of U.S. laws on exportation (13 U.S.C. Sec . 305: 22 U.S.C. Sec. 401, 18 U.S.C. Sec 1001, 50 U.S.C. app. 2410).	Certification to truth and accuracy of information provided.	
30.	Signature:	Sign to authorize CEVA to file EEI on your behalf.	Not valid for routed export transactions. (See block 12)
31.	Title:	Title of the USPPI authorized contact.	Enter your title.
32.	Date:	Enter the date that you are signing this form.	Enter the date that you are signing this form.

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33.	Hazardous Material:	Check "Yes" if the shipment contains hazardous cargo. Otherwise Check "No".	Select Yes or No. FTR 15 CFR 30.6(a)(21) : The hazardous material indicator identifies whether the shipment is hazardous as defined by the Department of Transportation.
ADDITIONAL GUIDANCE FOR DEPARTMENT OF STATE/DDTC CONTROLLED TRANSACTIONS:			
	DDTC Quantity and DDTC Unit of Measure:	<p>Department of State/DDTC controlled transactions require additional reporting. The DDTC Unit of Measure (UOM) is separate and distinct from the Schedule B UOM. Refer to the license for the DDTC UOM.</p> <p>Report the quantity being shipped in terms of the DDTC units of measure.</p>	<p>FTR 15 CFR 30.6(b)(15): (v) -DDTC Unit of Measure (UOM). This unit of measure is the UOM covering the article being shipped as described on the export authorization (license) or declared under an ITAR exemption.</p> <p>(vi)- DDTC quantity. This quantity is for the article being shipped. The quantity is the total number of units that corresponds to the DDTC UOM code.</p>
	SME Indicator: (Y/N)	<p>Place a "Y" in this column for ITAR items designated Significant Military Equipment ("SME"). If the ITAR item is not SME, place an "N".</p> <p>Note: SME items on the USML are designated with an asterisk (*).</p>	<p>Place a "Y" in this column for ITAR items designated Significant Military Equipment ("SME"). If the ITAR item is not SME, place an "N". <i>Note: SME items on the USML are designated with an asterisk (*).</i></p> <p>FTR 15 CFR 30.6(b)(15)(ii) DDTC Significant Military Equipment (SME) indicator. A term used to designate articles on the USML (22 CFR 121) for which special export controls are warranted because of their capacity for substantial military utility or capability. See § 120.7 of the ITAR 22 CFR 120 through 130 for a definition of SME and § 121.1 for items designated as SME articles.</p>
	License Value by item (if applicable) (US Dollars)	NEW field effective April 5, 2014 – required for all licensed shipments: Enter the license value for each line item being shipped under the license.	Required for all licensed transactions. Enter the license value for each item being shipped under the license here. FTR 15 CFR 30.6(b)(15) : For shipments requiring an export license, report the value designated on the export license that corresponds to the commodity [for the line item / quantity] being exported.
	DDTC Applicant Registration Number:	<p>For Department of State, DDTC licensed transactions: Enter your Directorate of Defense Trade Controls (DDTC) Registration Number (also referred to as the Registration Code.)</p> <p>DDTC Registration Numbers must always be shown in exactly six characters. Examples of acceptable registration number formats: M-1234 or M12345.</p>	For Department of State, DDTC licensed transactions: Enter your Directorate of Defense Trade Controls (DDTC) Registration Number (also referred to as the Registration Code.) FTR 15 CFR 30.6(b)(16)(i) : The DDTC Registration Number assigned by the DDTC to persons who are required to register per part 122 of the ITAR (22 CFR parts 120 through 130), and have an authorization (license or exemption) from DDTC to export the article.

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			Before applying for ITAR licenses or using an ITAR license exemption, exporters must first register with DDTC.) DDTC Registration Numbers must always be shown in exactly six characters. Examples of acceptable registration number formats: M-1234 or M12345.
	Eligible Party Certification:	If you are moving cargo against an ITAR exemption, you must select Yes or No to certify eligibility.	FTR 15 CFR 30.6(b)(16)(iii) : DDTC eligible party certification indicator. Certification by the U.S. exporter that the exporter is an eligible party to participate in defense trade. See 22 CFR 120.1(c) . This certification is required only when an ITAR exemption is claimed.